

# Neurorobotics

## Module 1: Background and Foundations

### Lecture 2: Neuroscience. Neurons and synapses; systems neuroscience

David Vernon  
Carnegie Mellon University Africa

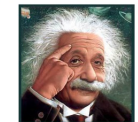
[www.vernon.eu](http://www.vernon.eu)

# Neurons and Synapses

Brain are comprised of neurons and synapses

- Also comprised of support cells (glia) and a vascular system to provide oxygen and nutrients
- The type of neurons and synapses doesn't vary much
- But the number of brain regions, neurons, and synapses does vary

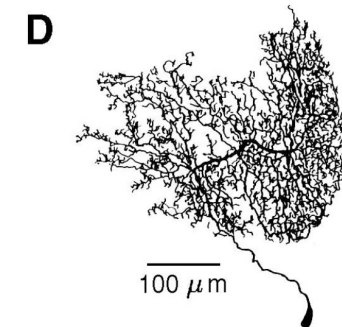
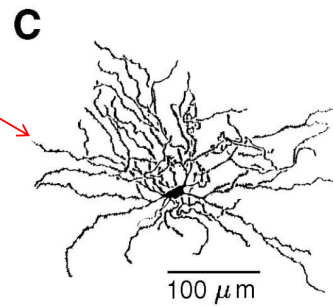
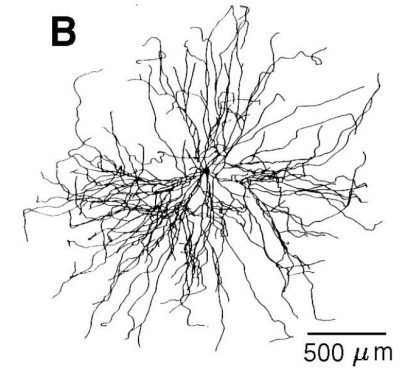
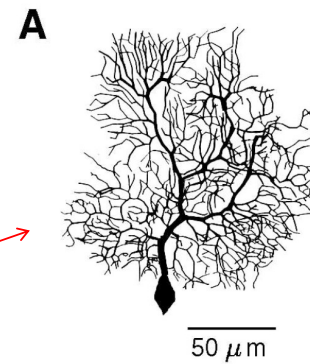
Species	Neurons	Synapses
Nematode	302	$10^3$
Fruit Fly	100,000	$10^7$
Honeybee	960,000	$10^9$
Mouse	75,000,000	$10^{11}$
Cat	1,000,000,000	$10^{13}$
Human	85,000,000,000	$10^{15}$



# Neurons

Neurons are nerve cells

- Generate electrical signals in response to chemical and other inputs
- Wide variety of shapes and sizes



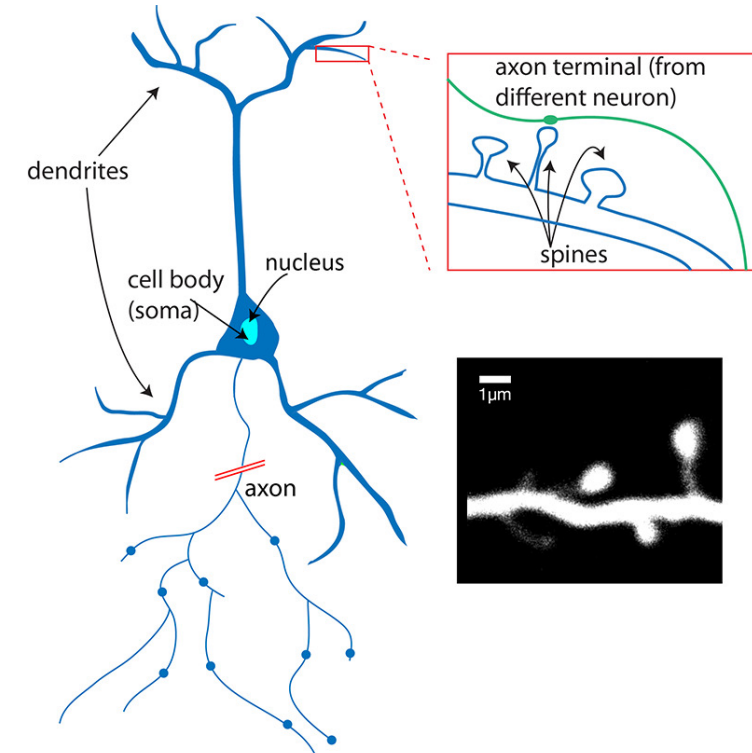
More on the **cerebellum** later

A. Cerebellar Purkinje cell. B. Motoneuron from the spinal cord.  
C. Neostriatal spiny neuron from cortex. D. Axonless interneuron from locust.

# Neurons

## Structure of a neuron

- A **soma**, the cell body
- **Dendrites** that received inputs from other neurons
  - **Dendritic tree** allows a neuron on the receive inputs from many other neurons
- **Axon**, the output of the neuron
  - Emanates from the soma, and can traverse large distances to other parts of the brain or body
- **Synapses**, i.e., synaptic connections between axons of other neurons with dendrites



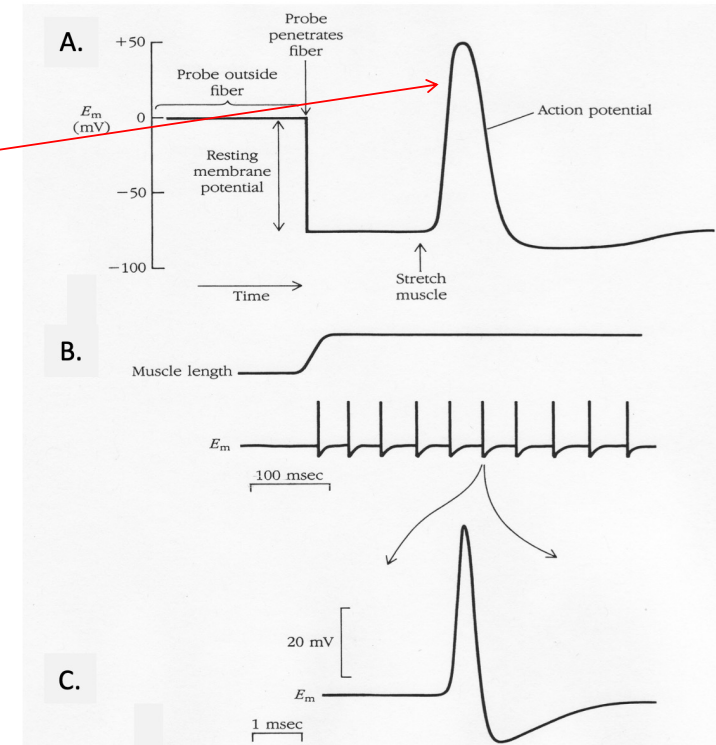
<https://qbi.uq.edu.au/brain/brain-anatomy/what-neuronlocust>.

# Neurons

## Operation of a neuron

Neurons convey messages via an **action potential**

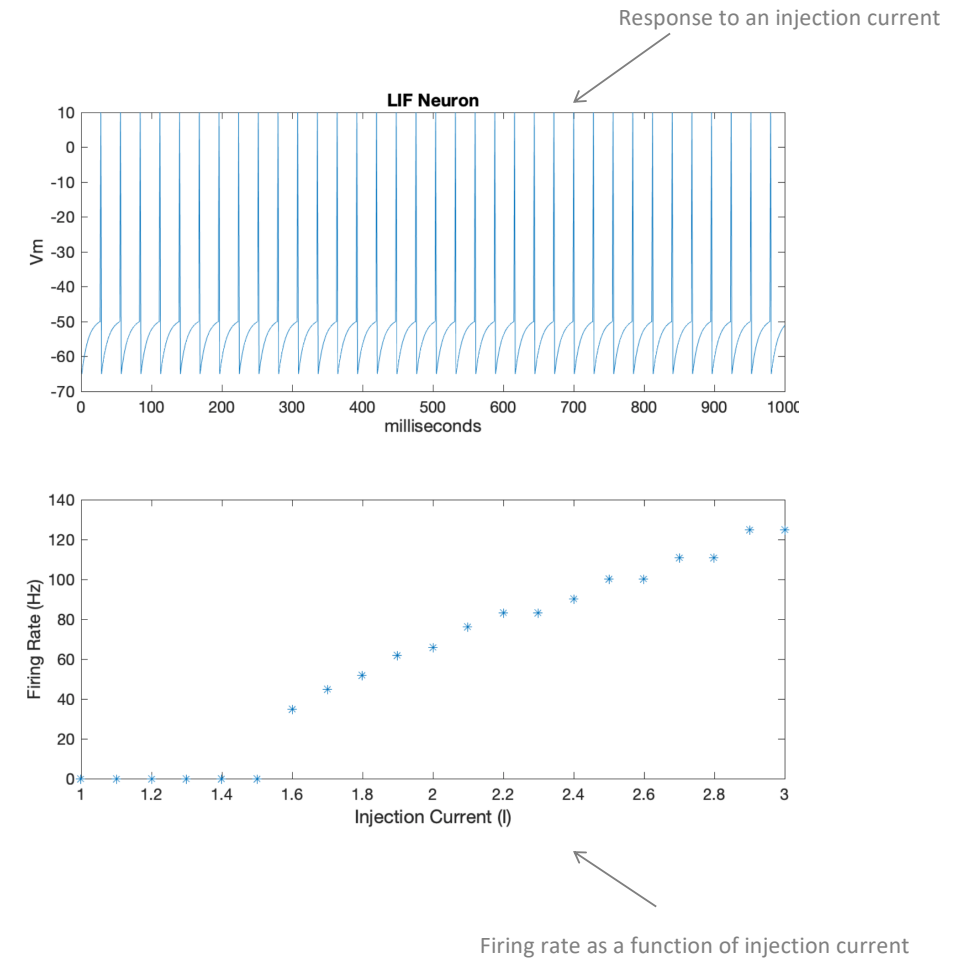
- A neuron **fires** (an action potential)
- A neuron **spikes**
- **~ 1 ms** for a firing or spike
- Firing / spiking is an all or nothing "binary" event
- After firing, there is a **refractory period**, during which the neuron can't spike again
- Firing rates: 5 – 100 Hz (i.e. low frequency)
  - Overall firing rate is referred to as **rate coding**



# Neurons

## Neuron models

- Model action potential with differential equations
- Referred to as spiking neurons
- Example model: Leaky Integrate and Fire (LIF) neuron
  - Integrates inputs
  - Sums these inputs
  - Generated a spike if the sum > some threshold
  - If no inputs, the summation decays: **leaks**



# Neurons




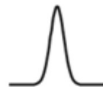
## Neuron models

- **Spiking neurons** may be computationally intensive
  - Can't run on a robot in real-time on a conventional computer
  - Use custom **neuromorphic integrated circuits** instead  
[see second case study in NRO1-03]

# Neurons

## Neuron models

- Alternatively, model with **mean firing rate neuron**
  - Average firing rate of a **pool** of neurons over tens of milliseconds
  - Several rate functions are used
    - Step, sigmoid, tanh, threshold-linear (ReLU), ...
  - Same as activation functions

Type of function	Graphical represent.	Mathematical formula	MATLAB implementation
Linear		$g^{\text{lin}}(x) = x$	<code>x</code>
Step		$g^{\text{step}}(x) = \begin{cases} 1 & \text{if } x > 0 \\ 0 & \text{elsewhere} \end{cases}$	<code>floor(0.5*(1+sign(x)))</code>
Threshold-linear		$g^{\text{theta}}(x) = x \Theta(x)$	<code>x.*floor(0.5*(1+sign(x)))</code>
Sigmoid		$g^{\text{sig}}(x) = \frac{1}{1+\exp(-x)}$	<code>1./(1+exp(-x))</code>
Radial-basis		$g^{\text{gauss}}(x) = \exp(-x^2)$	<code>exp(-x.^2)</code>



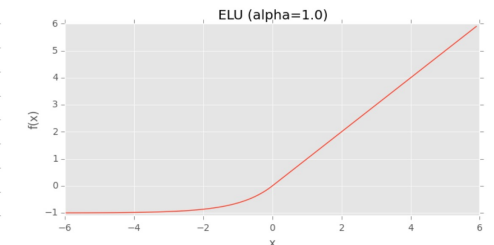
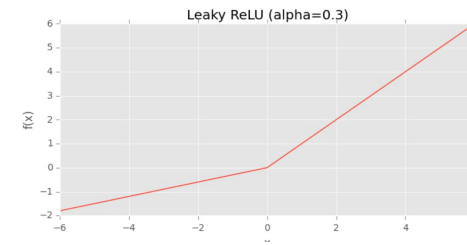
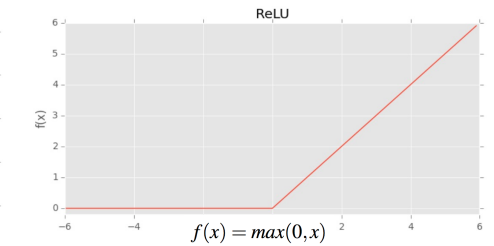
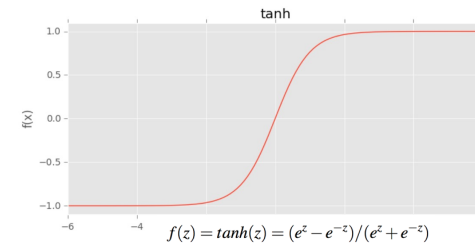
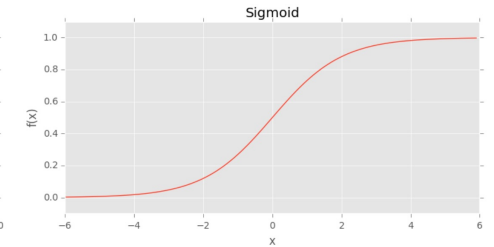
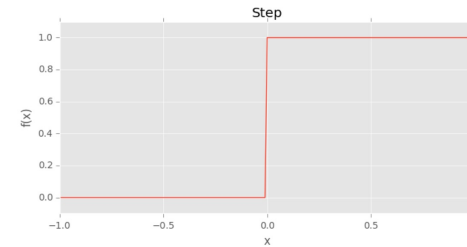
# Neurons

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$$f(net) = \begin{cases} 1 & \text{if } net > 0 \\ 0 & \text{otherwise} \end{cases}$$

$$s(t) = 1/(1 + e^{-t}) \quad t = \sum_{i=1}^n w_i x_i$$



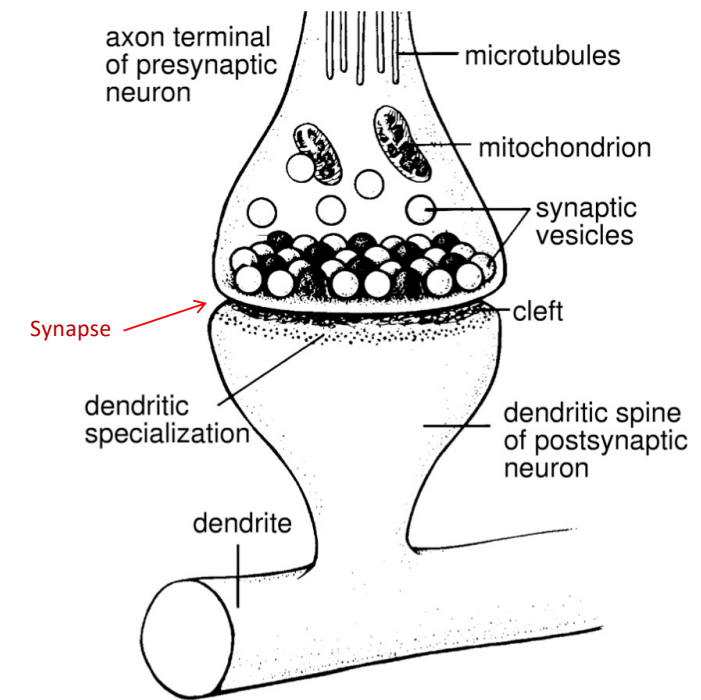
$$f(net) = \begin{cases} net & \text{if } net \geq 0 \\ \alpha \times net & \text{otherwise} \end{cases}$$

$$f(net) = \begin{cases} net & \text{if } net \geq 0 \\ \alpha \times (\exp(net) - 1) & \text{otherwise} \end{cases}$$

# Synapses

Synapses are connections between neurons

- **Presynaptic** side of the synapse: the **axon terminal**
  - Sends the signal
- **Postsynaptic** side of the synapse: the **dendrite**
  - Receives the signal



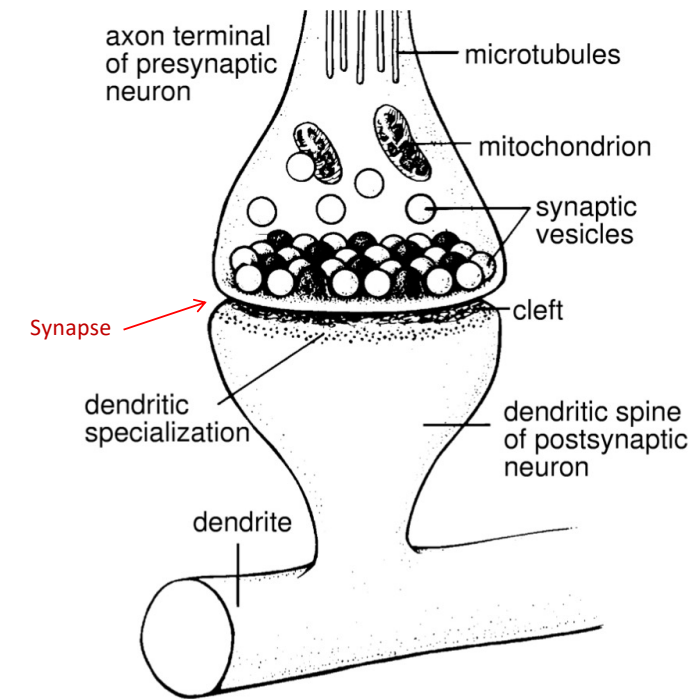
# Synapses

## Properties of synapses

- Some are **electrical** (called **gap junctions**)
  - Current from the presynaptic junction is received directly by the postsynaptic neuron
- Most are **chemical**
  - **Neurotransmitters** (packets of chemicals) are emitted by the presynaptic side (i.e. the axon terminal)
  - The receipt of a neurotransmitter causes the postsynaptic **membrane potential to increase or decrease**

Excitatory synapse

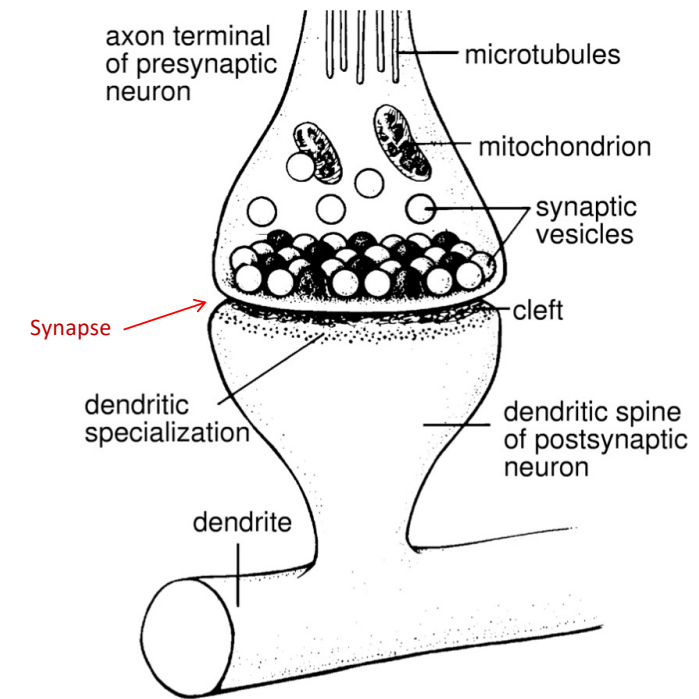
Inhibitory synapse



# Synapses

## Properties of synapses

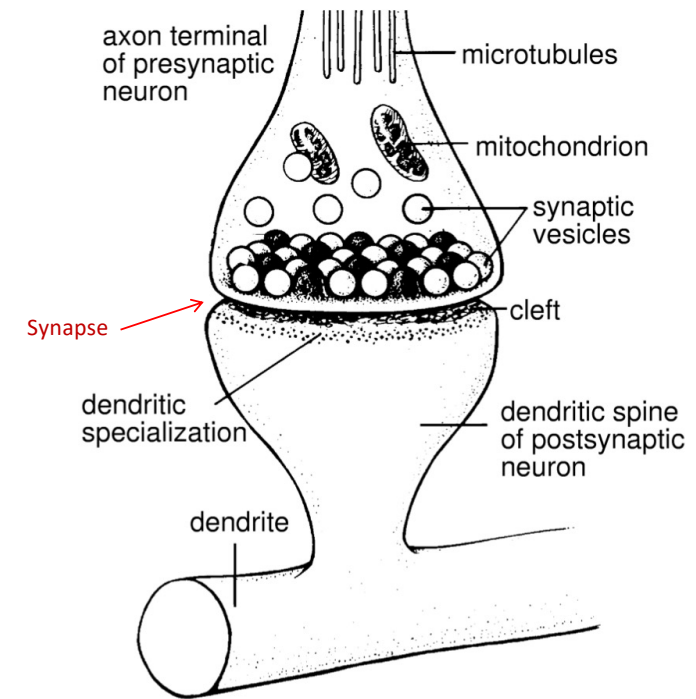
- Signals from excitatory synapses are **integrated** at the **soma**
- If the membrane potential **exceeds a threshold**, the postsynaptic neuron will **fire an action potential**
  - It may require **several excitatory synapses** to cause the postsynaptic neuron to fire
  - Factors that determine whether the neuron will fire or not
    - **Timing** of the synaptic events
    - **Distance** of the synapse from the soma
    - **Synaptic** strength
    - ...



# Synapses

## Synaptic plasticity

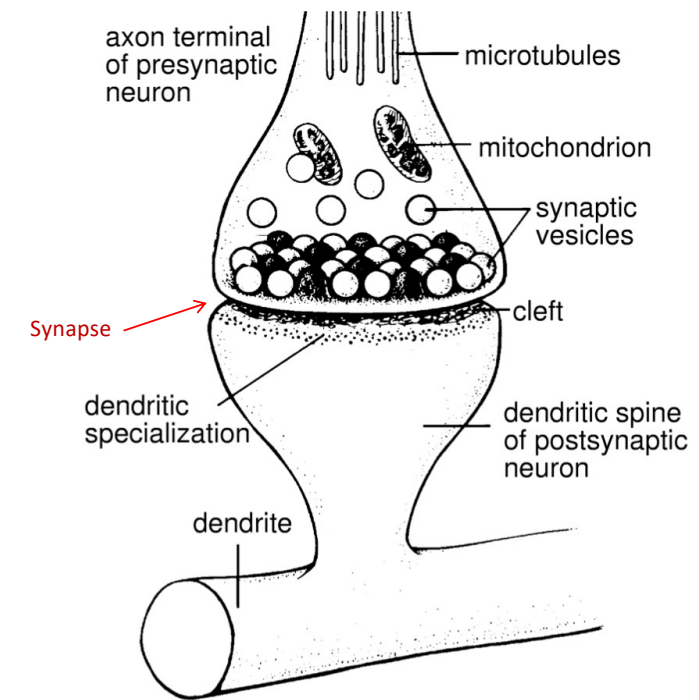
- The ability to **learn** is mainly due to changes in **synaptic strength**
  - If the presynaptic neuron and the postsynaptic neuron **fire** at approximately **the same time**, the strength of the synapse increases
  - "Neurons that fire together, wire together"
    - Hebb Rule
    - Hebbian learning
- Hebbian learning is realized by strong **high frequency pulse**
- **Increase** in synaptic strength (efficacy) is called **long-term potentiation (LTP)**



# Synapses

## Synaptic plasticity

- The ability to **forget** is also due to changes in **synaptic strength**
  - The opposite learning is realized by **low frequency pulse**
- **Decrease** in synaptic strength is called **long-term depression (LTP)**

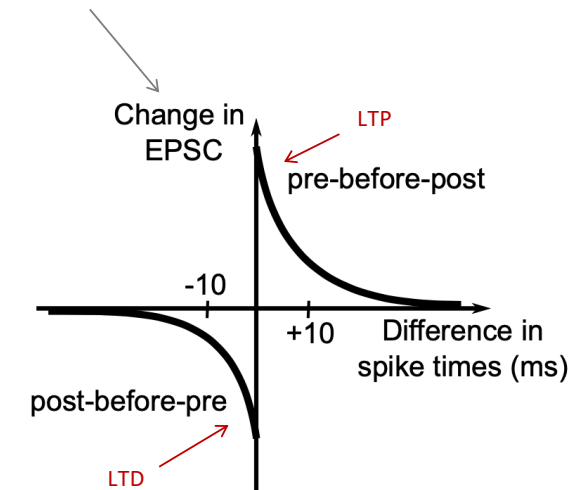


# Synapses

## Synaptic plasticity

- If the **timing** of the firing of the presynaptic neuron and the postsynaptic neuron varies, Hebb-like learning is still possible
- **Spike-timing dependent plasticity (STDP)**
  - Long-term potentiation (LTP) occurs if the **presynaptic** spike **precedes** the **postsynaptic** spike
    - Synapse is **strengthened**
  - Long-term depression (LTD) occurs if the **presynaptic** spike **occurs after** the **postsynaptic** spike
    - Synapse is **weakened**

Excitatory Post-Synaptic Current  
(measures LTP and LTD)



# Systems Neuroscience



The brain is a **nervous system**

- System of **interconnecting regions**
  - Each with different functions and specialities
- Sensory streams for
  - Hearing
  - Touch
  - Vision
- Motor streams for
  - Eyes
  - Head
  - Arms
  - Legs



# Systems Neuroscience

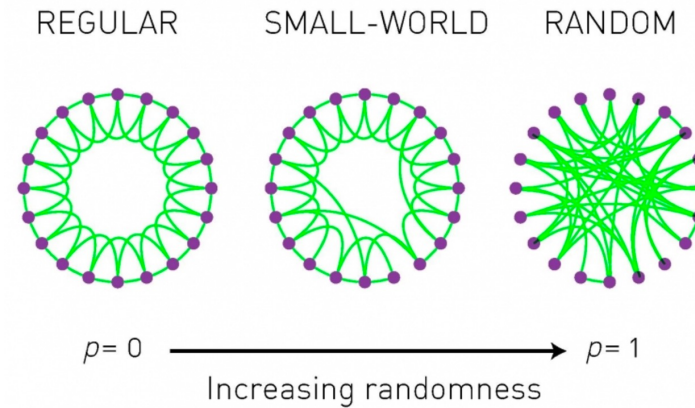
The brain is a nervous system

- Declarative memory
  - Places, events 
  - Facts 
- Procedural memory
  - Skills
- Short-term memory
  - Working memory
  - Seconds to minutes
- Long-term memory
  - Minutes to years

# Systems Neuroscience

The brain is a **small-world network**

- Each region is connected to the others
- By just a small number of synaptic connections
- Watts-Strogatz Model (1998)



A.-L. Barabási, *Network Science*, 2014  
<http://barabasi.com/book/network-science>

# Systems Neuroscience

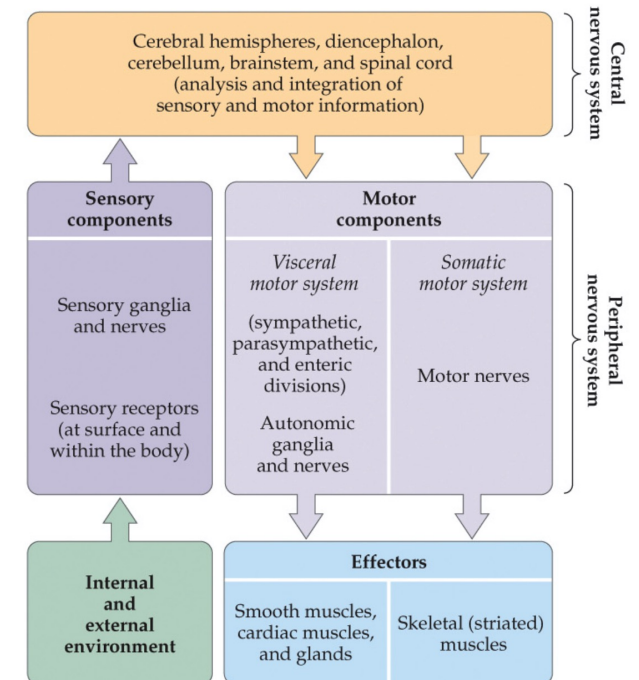
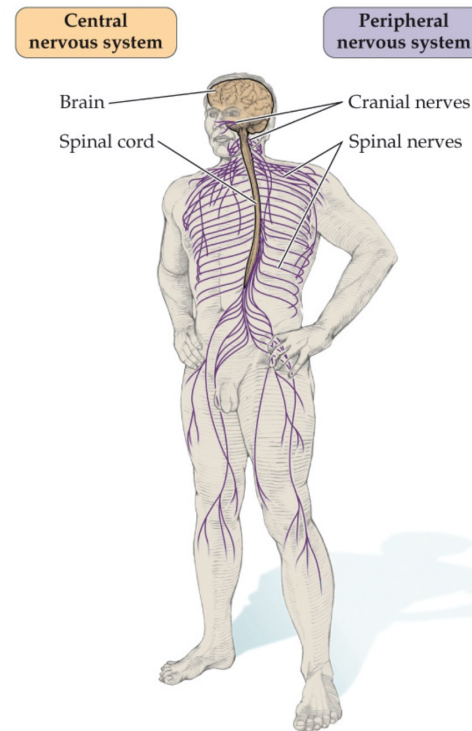
The nervous system has two parts

## – Central nervous system (CNS)

- Brain
  - Neocortex
  - Brainstem
  - Cerebellum
  - ...
- Spinal cord
  - Connection to PNS

## – Peripheral nervous system (PNS)

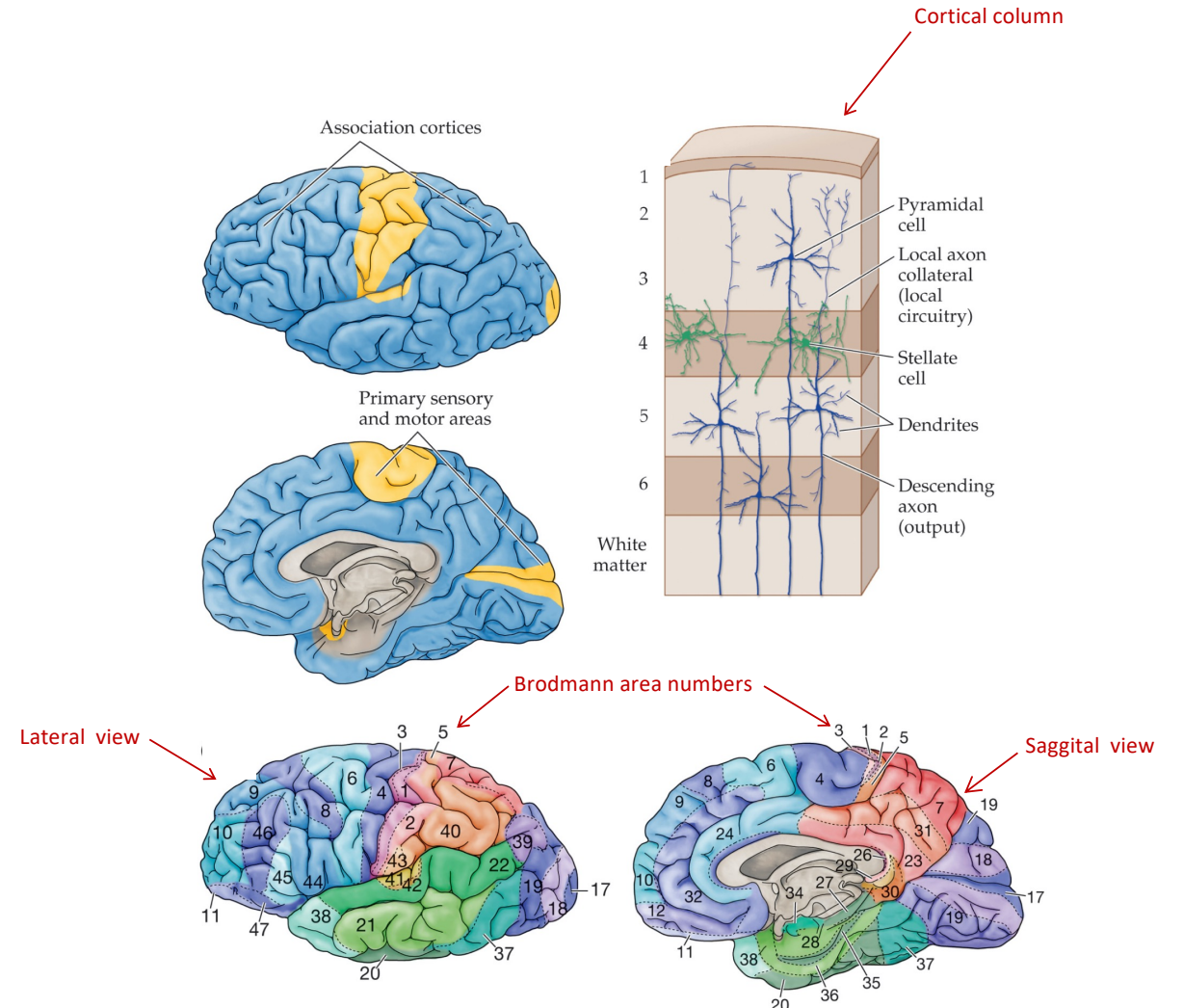
- Sensory information
  - Internal to body ← Interoceptive
  - External to body ← Exteroceptive
- Motor system
  - Somatic motor system ← Neurons to move parts of the body
  - Visceral motor system ← Neurons to control heart rate, breathing, digestion, ...



# Systems Neuroscience

## Cortical structures

- **Neocortex**
  - Folded structure in mammals
  - Columnar repeating structure
- Primary **sensory cortex**
  - Extracts features in stimuli
  - Primary visual cortex
  - Primary auditory cortex
- Primary **motor cortex**
  - Innervates muscles to cause movement

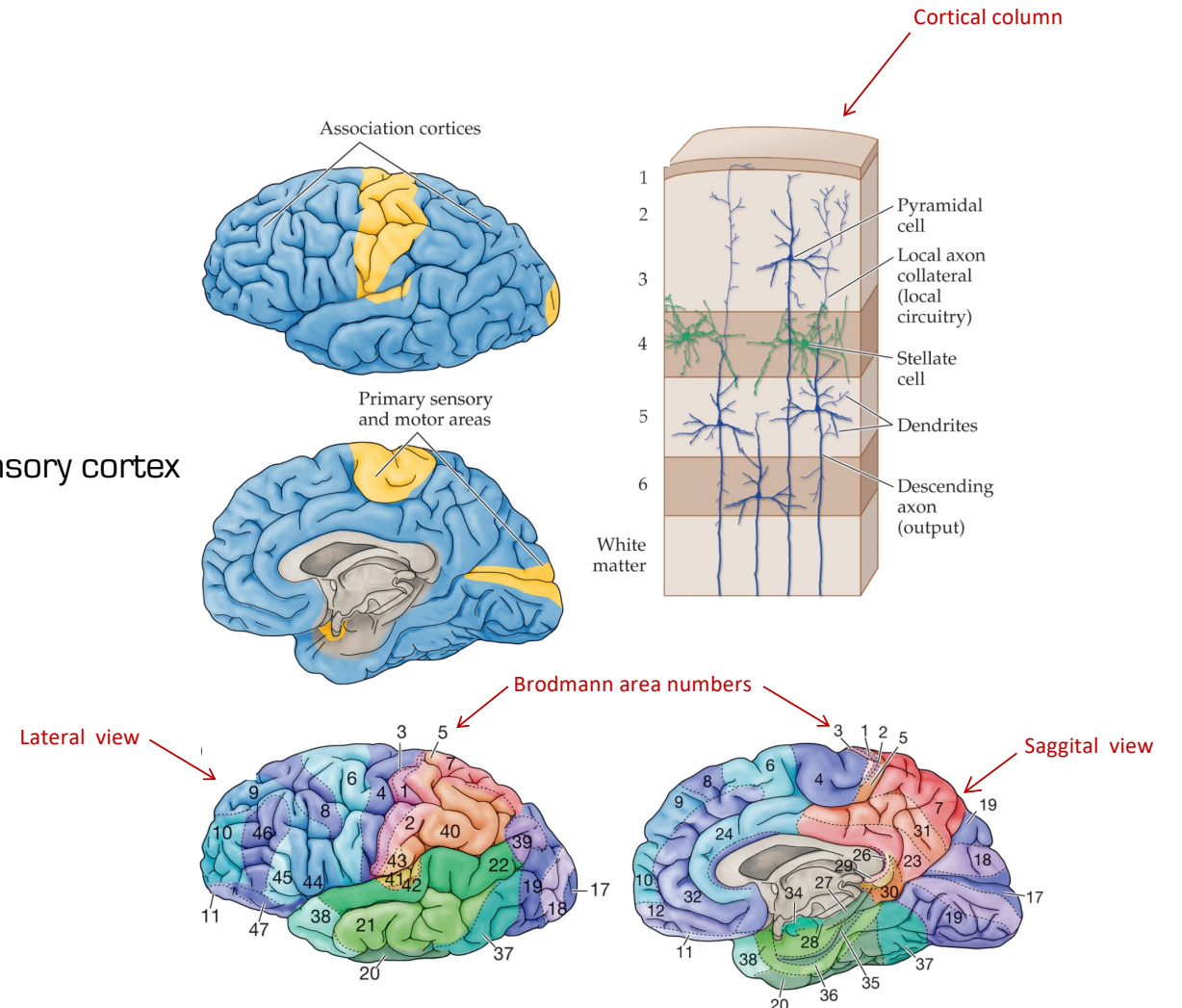


# Systems Neuroscience

## Cortical structures

### – Association cortices

- Multimodal
- Integrate signals from primary & secondary sensory cortex
- Generate activity in the motor cortex

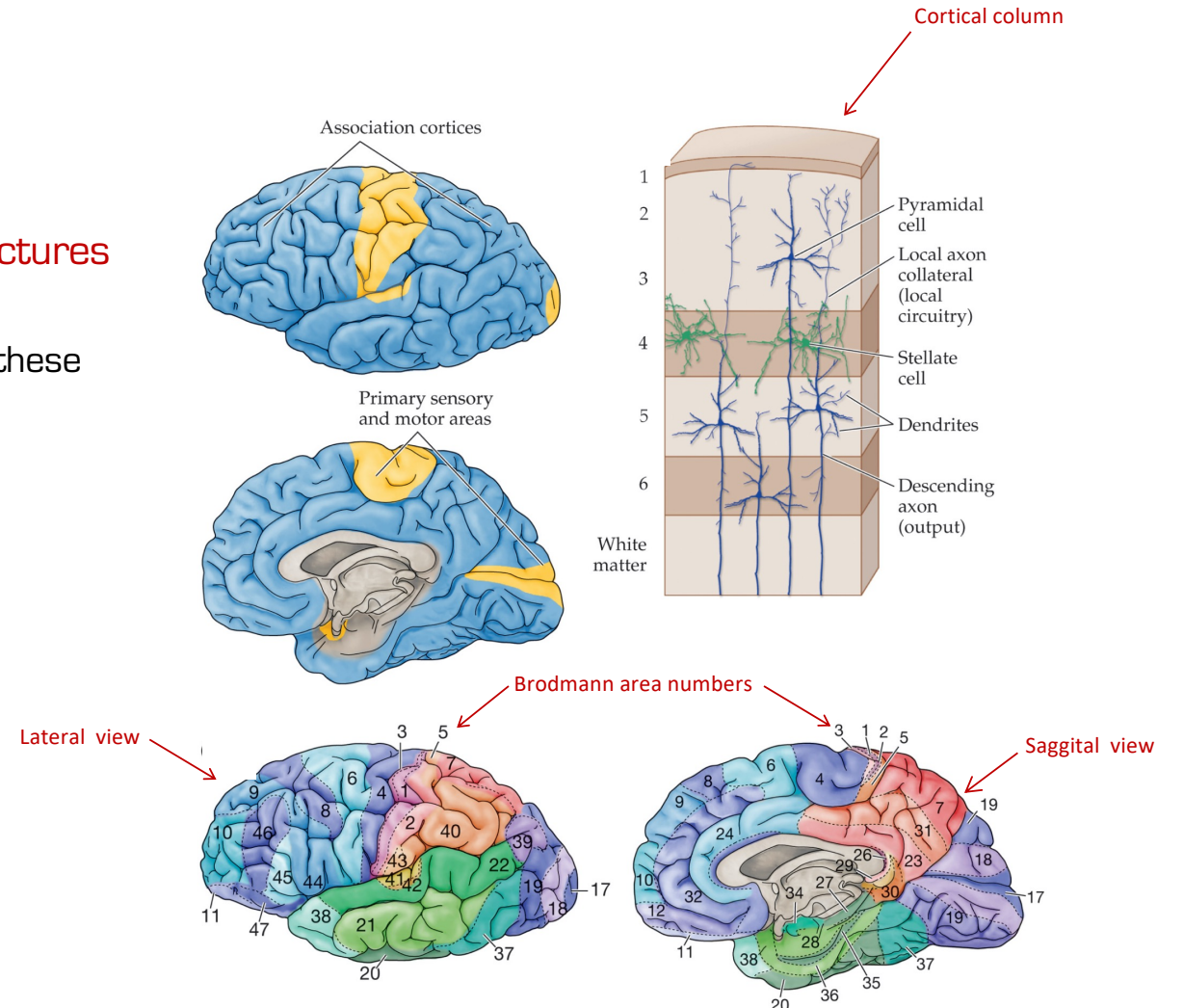


# Systems Neuroscience

## Cortical structures

The cortex is a series of repeating **columnar structures**

- Differences are the inputs to and outputs from these columns





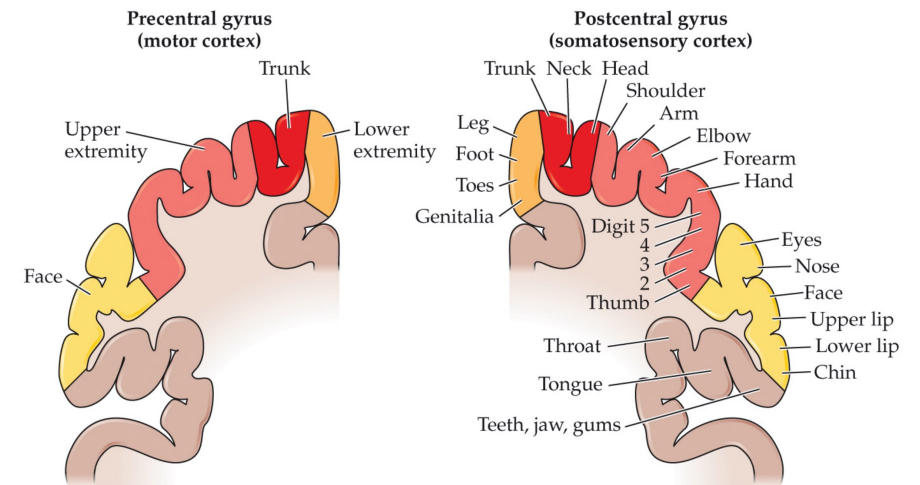
# Systems Neuroscience

## Cortical structures

The cortex is a series of repeating **columnar structures**

- Differences are the inputs to and outputs from these columns
- **Motor cortex**
  - Stimulating different areas will cause different parts of the body to move
  - Due to descending projections to the spinal cord, PNS, and muscles
- **Somatosensory cortex**
  - Touching different parts of the body
  - Will cause activity in specific areas
  - Different body parts have different amounts of cortical area
    - » e.g. large area for hand and face

Recall: soma means body

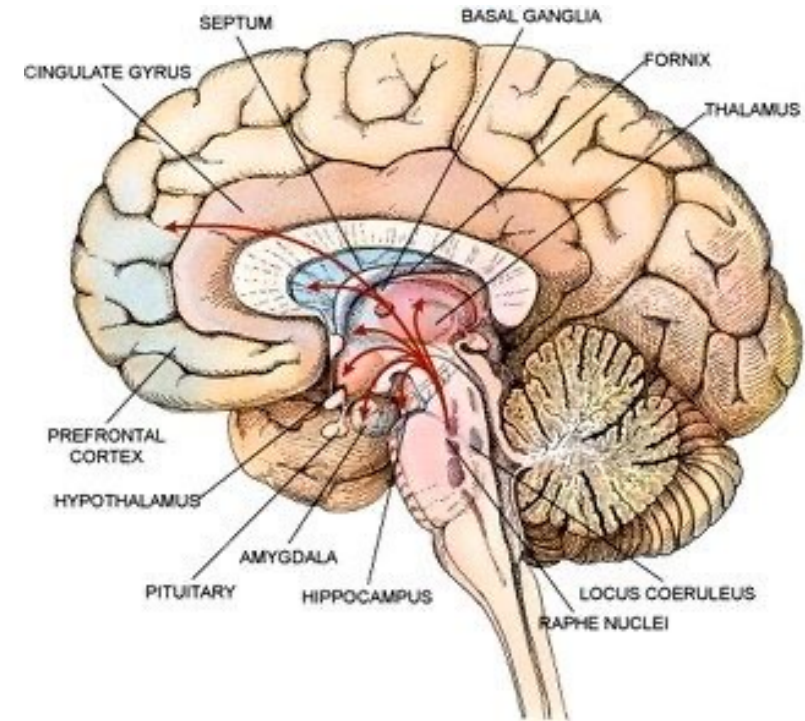


Cortical structure of a primate

# Systems Neuroscience

## Sensory systems

- There are pathways from the sensory receptors to the cortex in the CNS for each of our senses
  - Vision
  - Hearing ← Audition
  - Taste
  - Smell ← Olfaction
  - Touch
- The pathway starts at the periphery and reaches the **thalamus** after a few synapses and goes from there to the cortex
  - except the olfactory stimuli



<https://www.pinterest.com/pin/156570524517790126/>

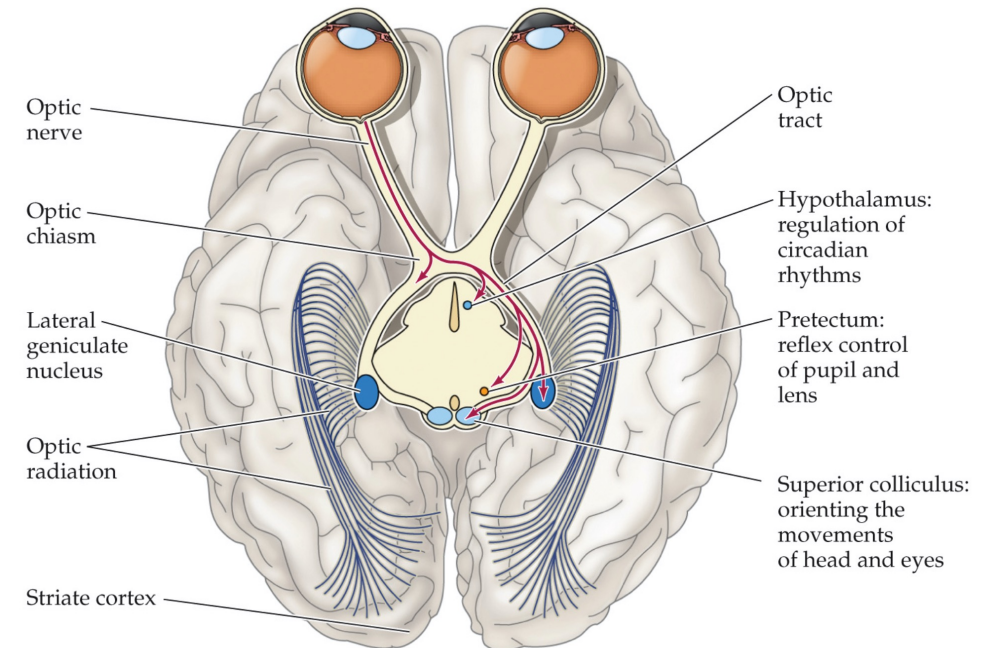


# Systems Neuroscience

## Visual stream

- Eye to visual **primary visual cortex**
- Light incident on the **retina** activates photoreceptors
- Photoreceptor signals are subject to extensive preprocessing before reaching the **lateral geniculate nucleus (LGN)** in the **thalamus**
- Most paths are **contralateral**; some are **ipsilateral**
- LGN responds to
  - regions of light surrounded by darkness:  
**on center, off surround**
  - regions of darkness surrounded by light:  
**off center, on surround**

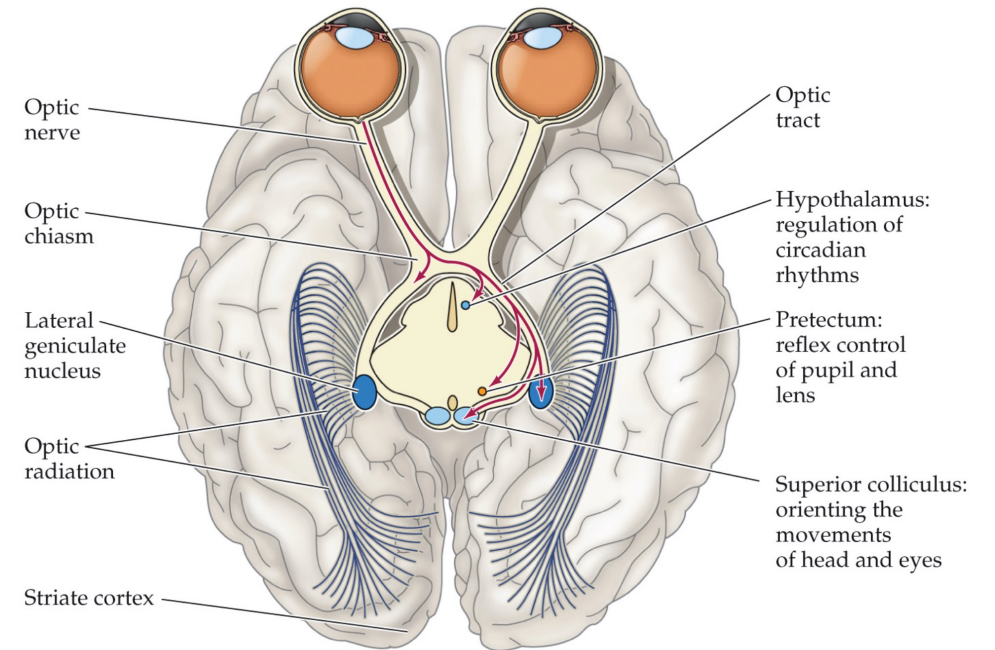
Rods and cones



# Systems Neuroscience

## Visual stream

- LGN projects to the primary visual cortex
  - **Striate cortex** or **V1**
  - V1 responds to short, oriented, contrasting segments ... elements of shape
- The retina also projects to the **superior colliculus**
  - Controls rapid (ballistic) eye movements: saccades
  - These movements are important for directing (spatial) attention

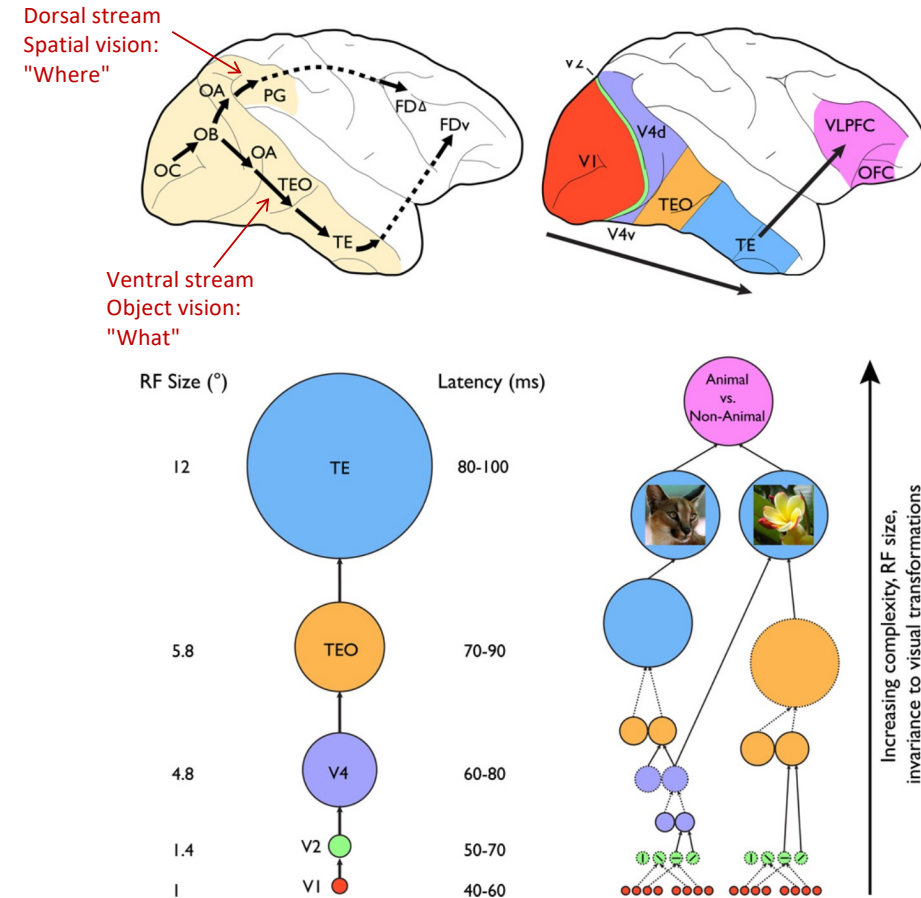


# Systems Neuroscience

## Visual stream

V1 projects to several cortical areas

- As the signals progress, the features they encode become more complex by combining earlier features
- The **receptive field** (RF) also gets larger
- A neuron in the **inferior temporal cortex** (TE) might respond to an animal, irrespective of its position, orientation, and size [translation, rotation, and scale invariance]



# Systems Neuroscience

## Motor Systems

- The main goal of the brain is to promote action
- There are extensive areas in the brain dedicated to movement

# Video

Daniel Wolpert: The real reason for brains

<https://www.youtube.com/watch?v=7s0CpRfyYp8>

# Systems Neuroscience

## Motor Systems

- **Reflexive** or involuntary movement in response to some stimuli
- For example, if your left foot steps on a sharp stone, it automatically lifts up and the right foot comes down to prevent a fall
  - This reflex circuit occurs between the legs and the **spinal cord**: it does not involve the brain
  - The brain would be too slow to respond
- For example, if something large moves in your peripheral vision, your eyes will move reflexively to centre the visual field on this potentially dangerous object
  - This reflex circuit is from the eye to the **superior colliculus** and then to the **brainstem** to control the eye muscles



The iCub humanoid robot – A platform for research in cognitive robotics

# Systems Neuroscience

## Motor Systems

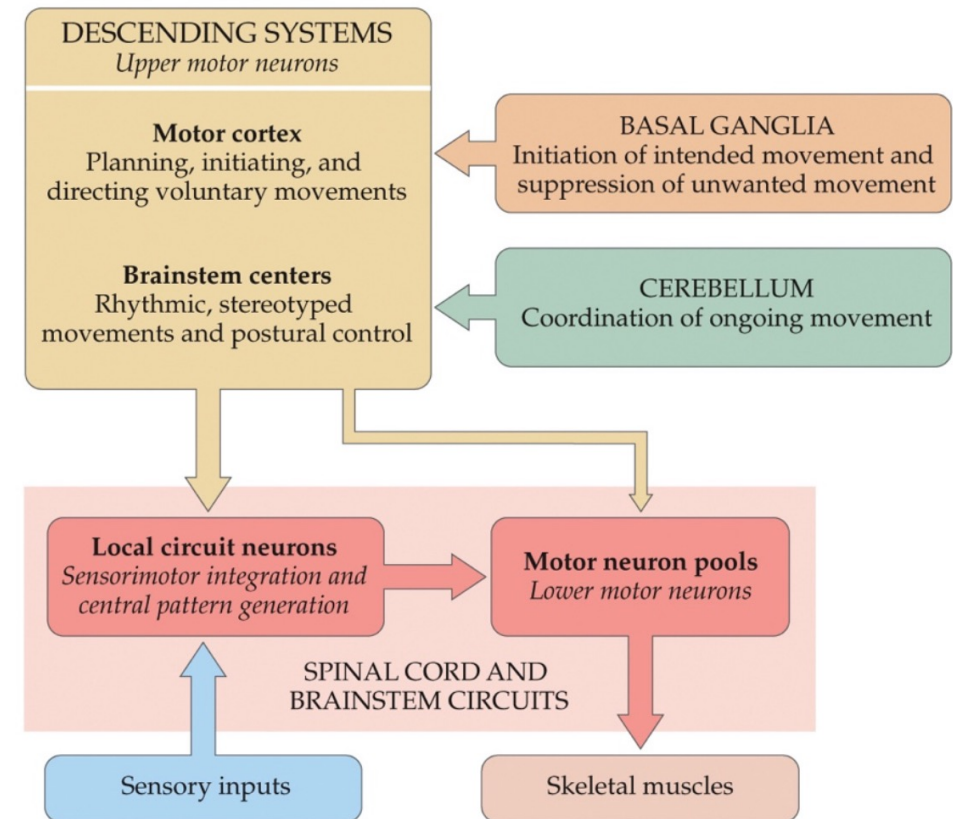
- **Planned** or voluntary movement
- We **simulate several movements** before choosing what seems to be the best one
- When the choice is made, this causes a **preparatory signal** in the **premotor cortex**
- This then drives specific areas, e.g. for the arm or hand, in the **motor cortex**
- These signals go through the **spinal cord** and eventually reach the **muscles** and activate them
- Again, prior to the movement, many brain areas, including the frontal and parietal cortex may have been involved in visualizing the movements and assessing different options



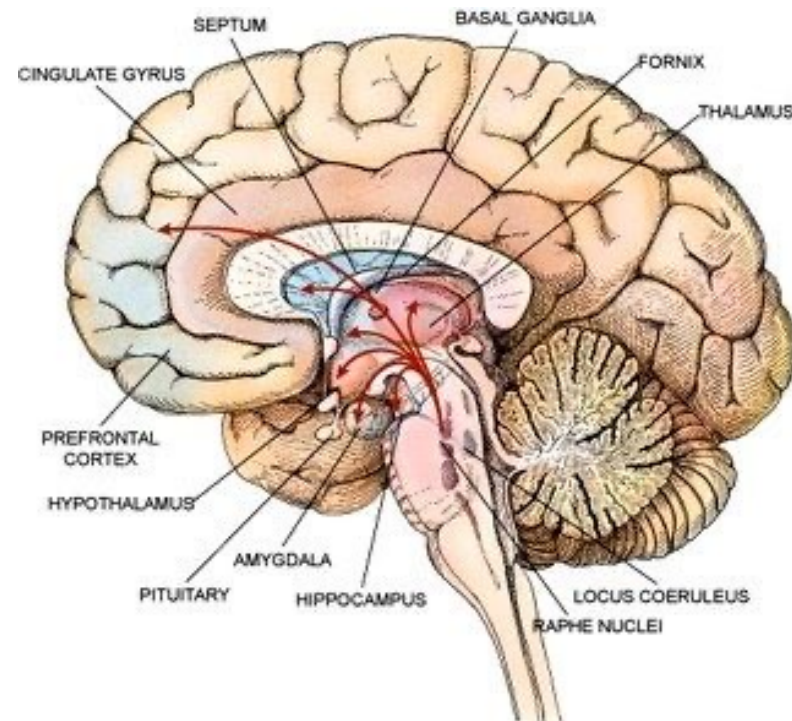
# Systems Neuroscience

## Motor Systems

- **Motor cortex** and **frontal cortex**: planning and initiating voluntary movements
- **Brainstem**: voluntary and involuntary movements
  - Posture control
  - Rhythmic movements, e.g. walking
- **Proprioceptive** input from movement
  - Joint position
  - Torque
  - Loadare received by the spinal cord, causing adjustments



# Systems Neuroscience

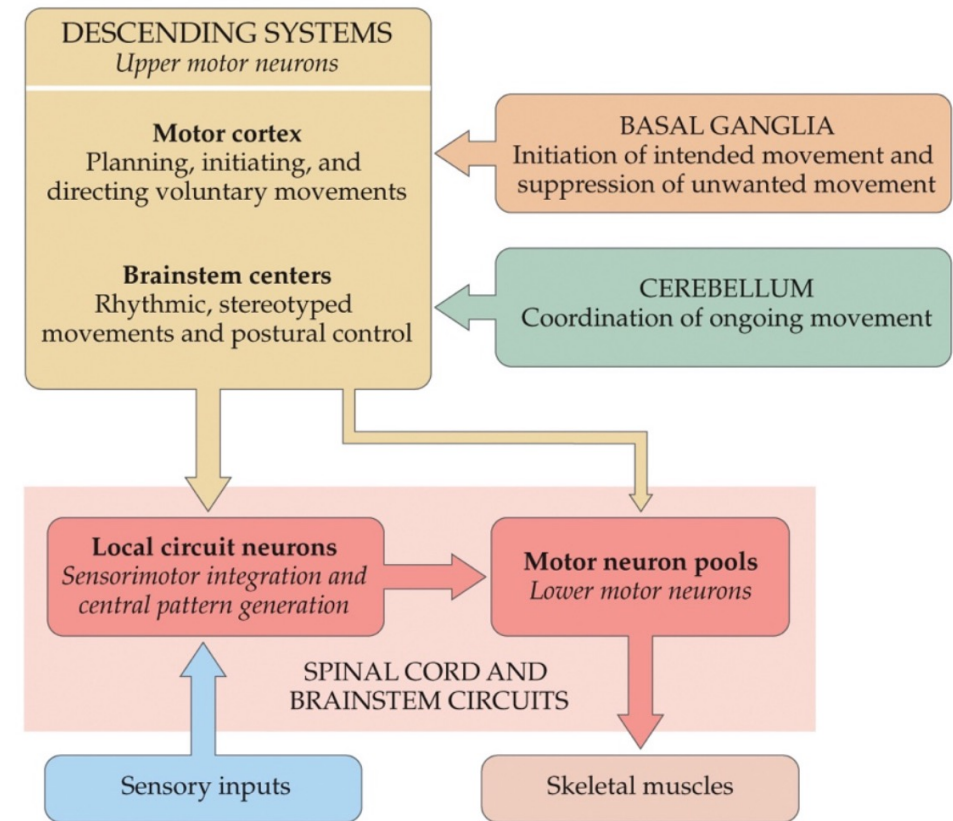


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# Systems Neuroscience

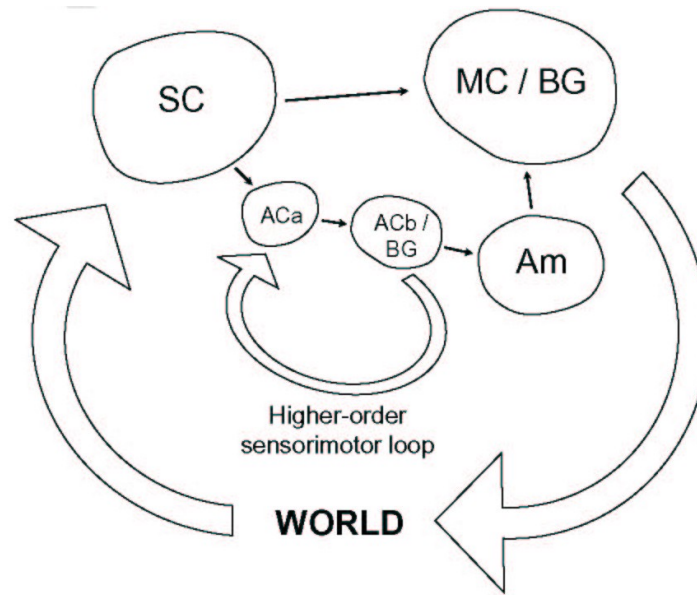
## Motor Systems

- Basal ganglia
  - Perform **action selection**
  - Can initiate or suppress movement
  - Once selected, these action can recruit sequences of of movements: **stereotypical motor program**
- Cerebellum
  - Organizes movement
  - Provides **fine motor control** with precise timing, adapting movements when there are errors



## Aside: Global Workspace Cognitive Architecture

- Modelled on the anatomy and operation of the brain
  - SC: Sensory Cortex
  - MC: Motor Cortex
  - BG: Basal Ganglia (action selection)
  - AC: Association Cortex
  - Am: Amygdala (affect)
- Implemented using G-RAMS (generalized random access memories)



M. P. Shanahan, 2006. A Cognitive Architecture that Combines Internal Simulation with a Global Workspace, Consciousness and Cognition, 15, pp. 433-449.

# Systems Neuroscience

## Closing message

- Although we teach different functions (e.g. perception, planning, motor control) separately, the brain is highly **reticulated** (i.e. there are links between different regions)
- Motor areas strongly and **bidirectionally** interact with sensory areas
- Attention is important for memory
- Memories dictate what we attend to and do
- Emotions dictate what we attend to and do
- The brain, as a whole, exists to serve the body's needs and its need to act in the environment
- **Neurorobotics must address all three: brain, body, environment**

“In the stream of cognitive processes one can **conceptually** isolate certain components, for instance

(i) the faculty to perceive,

(ii) the faculty to remember, and

(iii) the faculty to infer.

“But if one wishes to isolate these faculties functionally or locally, **one is doomed to fail.**

Consequently, if the mechanisms that are responsible for any of these faculties are to be discovered, then **the totality of cognitive processes must be considered.**”

Heinz von Foerster

Understanding Understanding

Essays on Cybernetics and Cognition

2003

# Reading

Hwu, T. and Krichmar, J. (2022). *Neurorobotics: Connecting the Brain, Body and Environment*, MIT Press.

Chapter 2 – Neuroscience: Background for Creating Neurorobots, pp. 16-34



# Videos

Daniel Wolpert TED Talk on the real reason for brains

<https://www.youtube.com/watch?v=7s0CpRfyYp8>